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THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Inventors:

Yves Louis Gabriel AUDEBERT, et al.

Application No.:

09/892,904

Filed:

June 28, 2001

For:

A METHOD AND SYSTEM FOR GENERATING AND VERIFYING A KEY PROTECTION CERTIFICATE

## INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner of Patents and Trademarks Washington, DC 20231

Sir:

Pursuant to Rules 56 and 99, Applicants hereby call the attention of the Patent Office to the reference(s) listed on the attached Form PTO 1449. US '942 is discussed in the background section of the application.

Applicants present this art so that the Patent Office may, in the first instance, determine any relevancy thereof to the presently claimed invention, see <a href="Beckman Instruments">Beckman Instruments</a>, Inc. v. <a href="Chemtronics">Chemtronics</a>, Inc., 439 F.2d 1369, 1380, 165 USPQ 355, 364 (5th Cir. 1970). Also see Patent Office Rules 104 and 106.

Applicants respectfully request that this art be expressly considered during the prosecution of this application and made of record herein and appear among the "References Cited" on any patent to issue herefrom.

Respectfully submitted,

Date: September 28, 2001

Designation No.

Registration No. 28,732

JEL/ejw

ATTORNEY DOCKET NO. L741.01105

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FORM PTO-1449 U.S. Department of Commerce (Rev. 4/92)
Patent and Trademark Office ATTY. DOCKET NO. SERIAL NO. L741.01105 09/892.904 INFORMATION DISCLOSURE STATEMENT BY APPLICANT APPLICANT

Yves Louis Gabriel AUDEBERT, et al. Use several sheets if necessary) 5 8 5001 FILING DATE **GROUP** June 28, 2001 2131 **U.S. PATENT DOCUMENTS** WA TRAD EXAMINER IF ALPROPATETE DOCUMENT NUMBER DATE CLASS SUBCLASS NAME 03/2001 Sudia et al. 6 0 9 0 9 6 1 8 9 0 9 7 02/2001 Tycksen, Jr. et al. 6 12/1999 0 0 5 9 2 Chan et al. 4 **FOREIGN PATENT DOCUMENTS** DOCUMENT NUMBER DATE COUNTRY CLASS SUBCLASS TRANSLATION YES NO OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Global Platform, Open Platform, Terminal Specification, Version 1.5, November 1999, Global Platform, Open Platform Terminal Framework, API Version 1.5.4, July 20, 2000. Global Platform, Multi Application—Smart Card Management Systems, Global Platform Functional Requirements, Version 3.3, November 2000. ISO/IEC 7816-4: 1995/Amd.1:1997(E) Information technology, Identification card, Integrated circuit(s) cards with contacts, Part 4: Interindustry commands for interchange, Amendment 1. ISO/IEC 7816-4: 1995(E) Information technology, Identification cards, Integrated circuit(s) cards with contacts, Part 4: Interindustry commands for interchange. ISO/IEC 7816-5: 1994/Amd. 1: 1996(E) Identification cards, Integrated circuit(s) cards with contacts, Part 5: Numbering system and registration procedure for application identifiers, Amendment 1. ISO/IEC 7816-5: 1994(E) Identification cards, Integrated circuit(s) cards with contact, Part 5: Numbering system and registration procedure for application identifiers. Chen, Zhiqun, "Java Card Technology for Smart Cards: Architecture and Programmer's Buide, "Addison Wesley, June 2000. Java Cryptography Architecture, API Specification & Reference, December 19, 1997. Chen, Zhigun, "How to write a Java Card applet: a Developer's Guide", Javaword, July 1999. Chen, Zhiqun et al., "Understanding Java Card 2.0, " Javaworld, March 1998. Posey, Brien, "Using Smart Cards with Windows 2000, "TechCrawler.com, September 28, 2000. **EXAMINER DATE CONSIDERED**